



MEMC 2987 (00-2950)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Kan-Yin Ng et al.  
Serial No. 09/970,404  
Filed October 3, 2001  
Confirmation No. 9398  
For APPARATUS AND PROCESS FOR PRODUCING  
POLISHED SEMICONDUCTOR WAFERS

Art Unit 2812

December 7, 2001

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted," dated November 5, 2001, enclosed is applicants signed Declaration and Power of Attorney in the above-referenced application. Also enclosed are 9 pages of substitute formal drawings. A copy of the Notice is returned herewith.

Enclosed is a check for \$130.00 in payment of the surcharge.

Respectfully submitted,

Kurt F. James, Reg No. 33,716  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

EJH/dep

Express Mail Label No. EL 890 666 701 US  
Box Missing Parts

12-10-01  
Sector 1/4  
FEE TRANSMITTAL

Application Number 09/970,404  
Filing Date October 3, 2001  
Confirmation No. 9398  
Inventor(s) Kan-Yin Ng et al.  
Group Art Unit 2812  
Attorney Docket Number MEMC 2987 (Patent 950)

METHOD OF PAYMENT

1. [ ] The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- [ ] The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- [ ] Applicant claims small entity status.
2. [X] Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. [ ] BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. [ ] EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. [X] ADDITIONAL FEES Subtotal (3) \$ 130.00
- [X] Surcharge - late filing fee or oath  
[ ] Surcharge - late provisional filing fee or cover sheet  
[ ] Extension for reply within \_\_\_\_\_ month  
[ ] Notice of Appeal  
[ ] Filing a Brief in Support of an appeal  
[ ] Request for ex parte Reexamination  
[ ] Petitions to the Commissioner  
[ ] Submission of Information Disclosure Statement  
[ ] Recording each patent assignment per property  
[ ] Request for Continued Examination  
[ ] Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 130.00

Derick E. Allen 12-7-01  
Derick E. Allen, Reg. No. 43,468 Date

DEA/dep

Express Mail Label No. EL 890 666 701 US



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/970,404	10/03/2001	Kan-Yin Ng	MEMC 2987

000321  
SENNIGER POWERS LEAVITT AND ROEDEL  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102



CONFIRMATION NO. 9398

## FORMALITIES LETTER



\*OC000000007023299\*

Date Mailed: 11/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

12/11/2001 EAREGAY1 00000044 09970404

01 FC:105 130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703 308 1202